

CRS self-certification

Name	Bank Gutmann Aktiengesellschaft
Principal office (address, not a post box or c/o address)	Schwarzenbergplatz 16, 1010 Vienna, Austria
Place of general management	Vienna

Type of entity (as defined by GMSG / CRS)

Financial institution:

GIIN (Global Intermediary Identifying Number): 6WE5LC.00000.LE.040

a) Custodial institution, depository institution or specified insurance company

b) Investment entity

1. Investment entity resident in a state not taking part in the automatic exchange of information on financial accounts and being managed by another financial institution (for CRS purposes is deemed to be a "passive NFE", in which case information on the controlling persons has to be provided in the section below)

2. Other investment entity

Other entity ("NFE – Non-Financial Entity"):

Passive NFE

c) NFE not to be classified as an "active NFE" under d) to h) below, e.g. NFE with at least 50% passive income / 50% assets producing passive income that is not to be classified as other active NFE (in which case information on the controlling persons has to be provided in the section below)

Active NFE

d) NFE with less than 50% passive income and less than 50% assets producing passive income

e) Government entity or central bank

f) International organisation

g) Entity the stock of which is regularly traded on an established securities market or entity that is related to such a corporation

Name of established securities market:

Name of the regularly traded entity (in the case of related entity):

h) active NFE other than specified under d) to g) (for example, certain holding companies, start-up NFEs, non-profit NFEs)


For passive NFE: Name(s) of controlling person(s) of entity (if b)1. or c) was ticked above)

For each controlling person complete form "Tax residency self-certification by controlling person (beneficial owner)".

Entity's tax residence

Country	Taxpayer identification number (TIN)	If TIN not available, please explain
Austria	092802719	

We declare that all statements made in this self-certification are, to the best of our knowledge and belief, correct and complete. We shall inform the recipient of this self-certification immediately of any changes in any of the circumstances named in this self-certification, including in particular of any changes in the Bank Gutmann's tax residency status and/or liability to taxation and will furnish documentation and a duly updated self-certification as required.



PRIVATE BANKERS
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Vienna / September 10, 2018

Place/date